REVIEW ARTICLE

Epidemic influenza and vitamin D


1 Atascadero State Hospital, 10333 El Camino Real, Atascadero, CA, USA
2 Mount Sinai Hospital, Pathology and Laboratory Medicine, Department of Medicine, Toronto, Ontario, Canada
3 Laboratory of Clinical and Translational Studies, National Institute on Alcohol Abuse and Alcoholism, National Institutes of Health, Bethesda, MD
4 Departments of Medicine and Physiology, Boston University School of Medicine, Boston, MA, USA
5 SUNARC, San Francisco, CA, USA
6 Atmospheric Chemistry Division, National Center for Atmospheric Research, Boulder, CO, USA
7 Department of Family and Preventive Medicine, University of California San Diego, La Jolla, CA, USA
8 Departments of Nutrition and Epidemiology, Harvard School of Public Health, Boston, MA, USA

* Author for correspondence: Dr J. J. Cannell, Atascadero State Hospital, 10333 El Camino Real, Atascadero, CA 93422, USA.
(Email: jcannell@dmhash.state.ca.us)

SUMMARY

In 1981, R. Edgar Hope-Simpson proposed that a 'seasonal stimulus' intimately associated with solar radiation explained the remarkable seasonality of epidemic influenza. Solar radiation triggers robust seasonal vitamin D production in the skin; vitamin D deficiency is common in the winter, and activated vitamin D, 1,25(OH)_{2} D, a steroid hormone, has profound effects on human immunity. 1,25(OH)_{2} D acts as an immune system modulator, preventing excessive expression of inflammatory cytokines and increasing the 'oxidative burst' potential of macrophages. Perhaps most importantly, it dramatically stimulates the expression of potent anti-microbial peptides, which exist in neutrophils, monocytes, natural killer cells, and in epithelial cells lining the respiratory tract where they play a major role in protecting the lung from infection. Volunteers inoculated with live attenuated influenza virus are more likely to develop fever and serological evidence of an immune response in the winter. Vitamin D deficiency predisposes children to respiratory infections. Ultraviolet radiation (either from artificial sources or from sunlight) reduces the incidence of viral respiratory infections, as does cod liver oil (which contains vitamin D). An interventional study showed that vitamin D reduces the incidence of respiratory infections in children. We conclude that vitamin D, or lack of it, may be Hope-Simpson's 'seasonal stimulus'.

INTRODUCTION

Whoever wishes to investigate medicine properly should proceed thus: in the first place to consider the seasons of the year ...

Hippocrates
(circa 400 B.C.)

... the characteristic microbe of a disease might be a symptom instead of a cause.

George Bernard Shaw
(Preface on Doctors, The Doctor’s Dilemma, 1911)

Perhaps the most mysterious feature of epidemic influenza is its remarkable and recurrent seasonality – wintertime surfeit and summertime scarcity – a feature first explored in detail by R. Edgar Hope-Simpson, the British general practitioner and
self-educated epidemiologist. After his celebrated discoveries of the cause of shingles [1] and the latency of varicella [2], Hope-Simpson dedicated much of the rest of his working life to the epidemiology of influenza. He believed that discovering the cause of influenza’s seasonality would ‘provide the key to understanding most of the influenzal problems confronting us’ [3].

Hope-Simpson was the first to document that influenza A epidemics in temperate latitudes peak in the month following the winter solstice (Fig. 1). In both north and south temperate zones the epidemics are distributed around the local midwinter, whereas the tropical zones show a transition, each approximating towards the distribution of its own temperate zone. The curve indicates the ‘midsummer’ path taken annually by vertical solar radiation. The ‘epidemic path’ seems to parallel it, but to lag 6 months behind it. (Reproduced with permission, Cambridge University Press, Hope-Simpson, 1981.)

Thus, he hypothesized that solar radiation produced a ‘seasonal stimulus’ that profoundly affected the pathogenesis of influenza A – but he had no idea of the mechanism. However, Hope-Simpson believed epidemiologists would eventually succeed with ‘the task of identifying the chain of intermediate mechanisms through which the prime cause (the variation in solar radiation) is operating its seasonal influence’ [3, p. 87].

Although serological and culture evidence of influenza infection has been documented in the summer, it seldom causes community outbreaks in summer [5–7]. About 2% of persons continuously surveyed seroconvert during periods when clinical influenza is not recognized [8]. In spite of being in the population year-round, epidemics in temperate latitudes usually peak in winter [9–13]. Furthermore, Hope-Simpson noted that ‘epidemics of influenza often occur contemporaneously at the same latitude even in localities widely separated by longitude’ [4, p. 43]. He noted influenza would abruptly attack 15% or more of the population around the winter solstice but virtually disappear in the sunny months despite a wealth of potential victims lacking virus-specific antibodies.

Hope-Simpson saw solar radiation as a stronger predictor of influenza epidemics than the presence of virus-specific antibodies. For example, Miller et al. [14] reported that the Hong Kong virus was first isolated in Britain in August 1968 but it did not
cause significant summertime illness despite being a new antigenic variant in a non-immune population (Fig. 2). However, clinical case rates increased in intensity as the sun became progressively lower in the sky each day (autumn), waiting until the winter solstice of 1968 before the first community outbreaks appeared. Influenza case rates peaked for several months but waned as the sun rose higher in the sky each day (spring). Predictably, influenza virtually ceased following the summer solstice. Clinical case rates for Hong Kong influenza increased from September 1969, only to explode again in the days preceding the winter solstice, even though a much higher proportion of the British population had virus-specific antibodies at the beginning of the lethal second wave than they did at the beginning of its less lethal first wave.

Hope-Simpson also observed that influenza outbreaks in the tropics, where solar UV radiation is less seasonal, are also much less seasonal, but are generally more severe when solar radiation is impaired (the rainy season) – observations recently confirmed [15]. This intimate association with sunlight led the naturalist in Hope-Simpson to see influenza as a winter ‘crop, and, as with other crops, some years are good influenza years, and other years produce a poor crop of influenza cases’ [3, p. 92].

Influenza is but one of several respiratory viral pathogens that show a distinct predilection for infecting us in the wintertime. Noah found that in England and Wales respiratory syncytial virus and parainfluenza 1 and 2 display marked wintertime excess [16]. More than 200 viruses cause the common cold, which, as the name implies, also shows a distinct wintertime excess [17]. However, in clinical practice, and in much published research, specific identification of respiratory viral infections is frequently absent. With full appreciation of its inherent limitations, we will use the term viral respiratory infection in this review, unless the literature cited was more specific.

The seasonal stimulus?

As Hope-Simpson pointed out, solar radiation may be affecting the ‘virus, the human host, or their interaction ...’. That is, he theorized that humans might have a physiological system directly dependent on solar radiation that improves innate immunity around the summer solstice but impairs it in the winter. There is a seasonal steroid hormone system with profound effects on human immunity whose substrate levels reach their nadir during influenza season but peak when influenza is rare (Fig. 3) [18, 19].

Cholecalciferol (vitamin D) is a prehormone normally made in the skin during sunny months when UVB radiation triggers the conversion of 7-dehydrocholesterol in the skin into vitamin D [20]. The liver converts vitamin D into 25-hydroxyvitamin D [25(OH)D] and then cells all over the body convert 25(OH)D to 1,25-dihydroxyvitamin D [1,25(OH)2D] – a potent steroid hormone. Locally produced 1,25(OH)2D performs autocrine and paracrine functions in a wide variety of tissues, including the immune system. Local tissue levels of 1,25(OH)2D are dependent on available serum substrate [25(OH)D]. Dangers of vitamin D deficiency may include more than just low 25(OH)D levels. Vieth has proposed that progressively falling serum levels of 25(OH)D (as occurs in the autumn), may trigger intracellular deficiencies of 1,25(OH)2D, despite apparently adequate serum levels of 25(OH)D and 1,25(OH)2D [21].

Many distinctive features of the biology, physiology, and epidemiology of vitamin D point to it as a likely candidate for Hope-Simpson’s ‘seasonal stimulus’.

1. Vitamin D has profound and multiple effects on human immunity [22, 23].
2. Inadequate vitamin D nutrition is endemic among the elderly in the winter [24–26].
3. Serum levels of 25(OH)D are low in many people of all ages who live at temperate latitudes, especially in the winter [20].
4. Humans acquire most of their vitamin D from casual sun exposure, and to a degree that
is a function of skin surface area exposed [27, 28].

(5) The elderly only make about 25% of the vitamin D as 20-year-olds do after exposure to the same amount of sunlight [29].

(6) Seasonal variations – and vitamin D deficiency – occur in both subtropical and tropical latitudes [30, 31].

(7) Routine daily supplementation with 400 IU of vitamin D does not prevent wintertime insufficiency [32].

**Mechanism of action of vitamin D**

The pathology of influenza involves a complex interaction between the virus, acquired immunity, and innate immunity. Macrophages rapidly release cytokines into infected respiratory tissue while virucidal antimicrobial peptides attempt to prevent viral replication [33]. The release of proinflammatory cytokines, as much as the virulence of the virus, may determine the clinical phenotype of influenza infection. Recent research confirms that the clinical phenotype of influenza correlates well with amount of cytokines released [34, 35]. Furthermore, the severity of the illness induced by genetically reproduced 1918 influenza virus also correlates with the ability of the virus to induce macrophage production of cytokines [36]. In avian influenza, the innate cytokine immune response can be overwhelming; levels of such cytokines are significantly higher in those with a fatal outcome [37, 38].

Recently, vitamin D has been found to modulate macrophages’ response, preventing them from releasing too many inflammatory cytokines and chemokines [39, 40]. Vitamin D deficiency also impairs the ability of macrophages to mature, to produce macrophage-specific surface antigens, to produce the lysosomal enzyme acid phosphatase, and to secrete \( \text{H}_2\text{O}_2 \), a function integral to their antimicrobial function [41, 42]. The same authors found that the addition of 1,25(OH)\(_2\)D increased expression of macrophage-specific surface antigens and the lysosomal enzyme acid phosphatase while stimulating their ‘oxidative burst’ function.

Perhaps most importantly, three independent research groups have recently shown that 1,25(OH)\(_2\)D dramatically stimulates genetic expression of antimicrobial peptides (AMP) in human monocytes, neutrophils, and other human cell lines [43–45]. These endogenous antibiotics, such as defensins and cathelicidins, directly destroy invading microorganisms [46]. AMP display broad-spectrum antimicrobial activity, including antiviral activity, and have been shown to inactivate the influenza virus [47–49]. Not only do neutrophils, macrophages, and natural killer cells secrete AMP, but epithelial cells lining the upper and lower respiratory tract secrete them as well, where they play a major role in pulmonary defence [50, 51].

**Influenza and solar radiation**

In spite of people congregating on cruise ships, airplanes, nursing homes, factories, offices, subways, hospitals, etc., summertime outbreaks and the spread of influenza A are rare [52, 53]. Curwen found a strong inverse correlation between the incidence of influenza and temperature in England and Wales [54], temperature being strongly associated with solar radiation [55]. He found average monthly temperature dropped below around 7 \(^\circ\)C during the influenza season. It is of interest that no vitamin D is made in the skin at latitude 52 \(^\circ\)N (the latitude of London) from about October to March because atmospheric ozone easily filters out UVB radiation unless the sun is high enough in the sky [56].

Annual all-cause mortality peaks in the months following the winter solstice and most excess wintertime mortality is in the elderly, due to both influenza and cardiac disease; some believe influenza explains all the significant wintertime increase in cardiac mortality [57]. The average excess winter mortality in Great Britain alone is 30000 persons per year [58], and is inversely related to hours of sunlight with 2.9% lower odds for every additional hour of sunshine; mortality from respiratory disease showed the greatest sunshine benefit.

If vitamin D is Hope-Simpson’s ‘seasonal stimulus’, then countries with low 25(OH)D levels and marked wintertime troughs should have higher excess wintertime mortality than do countries with high 25(OH)D levels and little seasonal variation. For example, Norway has the highest 25(OH)D levels in Europe (thought to be due to its high year-round consumption of fish and cod liver oil) [59]. Levels of 25(OH)D in Scandinavia display the least seasonal variation in Europe; indeed there is virtually no 25(OH)D seasonal variation among the elderly in Scandinavia [60]. On the other hand, the elderly in Great Britain have low 25(OH)D levels and such deficiencies are much more common during the influenza season [61]. Excess wintertime
mortality is twice as high in Great Britain as in Norway [62].

Global weather changes are associated with El Niño/Southern Oscillation (ENSO) [63]. Viboud et al. found an average of 3-7 million influenza cases in France during the 10 cold phases of ENSO but only 1-8 million cases during the eight warm phases [64]. The same authors reported that cold ENSO phases are associated with colder temperatures in Europe. Colder temperatures should lower mean serum 25(OH)D levels by lessening outdoor activity and necessitating more clothes when outdoors. Ebi et al. studied six Californian counties and found that hospitalizations for viral pneumonia peaked around the winter solstice in all six counties [65]. They also found hospitalizations increased 30–50% for every 5 °F (3 °C) decrease in minimum temperatures in four counties and increased 25–40% for every 5 °F (3 °C) decrease in maximum temperatures in the other two.

Hope-Simpson was the first to note an association between severe influenza epidemics and solar flare activity [66]. In 1990, Hoyle and Wickramasinghe confirmed the association but von Alvensleben disputed it [67, 68]. Horgan [69] promptly derided the observations, connecting them to viral invasions from outer space, a theory Hope-Simpson dismissed in his 1992 book [3]. Since the controversy, science has learned that solar flare activity increases high-altitude ozone, which, in turn, absorbs more UVB radiation thereby decreasing surface UVB [70]. Thus, paradoxically, heightened solar activity reduces surface UVB; presumably, average 25(OH)D levels would be lower as well. Rozema et al. estimated the variations in surface UVB radiation due to the solar flare activity over the last 300 years and estimated that, beginning in the eighteenth century, ‘the dose of surface UV-B should be (about) 4% to 13% lower at maxima of the 11-year solar cycle’ [71]. Although modest, such reoccurring decreases in UVB radiation should trigger reductions in average 25(OH)D levels, which, in turn, could trigger nonlinear factors related to influenza infectivity.

Melanin retards the ability of sunlight to trigger vitamin D production in African-Americans’ skin so they have much lower 25(OH)D levels than do whites [72]. If vitamin D is Hope-Simpson’s ‘seasonal stimulus’ then African-Americans should have a higher incidence of influenza and higher age-adjusted influenza mortality than do whites. Although we could find no racial incidence data, the age-adjusted mortality from combined pneumonia and influenza deaths in the United States was higher for African-Americans than for whites in 2000 (10% excess), 2001 (11% excess), and 2002 (6% excess) [73–75]. The same statistics show African-Americans have a much higher age-adjusted mortality from heart disease, and a significant percentage of those who die from influenza are reported to have suffered a cardiac death [76, 77]. Furthermore, black children continue to have twice the pneumonia mortality of white children [78]. While some of these racial disparities may be due to socioeconomic factors, racial differences in 25(OH)D levels may also be important.

**Attenuated influenza virus, the effect of season**

If vitamin D is Hope-Simpson’s ‘seasonal stimulus’, then humans inoculated with attenuated viruses during the summer [when 25(OH)D levels peak] should show less evidence of infection than those inoculated in winter. Shadrin et al. inoculated 834 non-immune males (age 16–18 years) with live attenuated influenza virus (B/Dushabbe/66 and B/Leningrad/2/67) in St Petersburg (62°N) and Krasnogor, Russia (45°N), during different seasons of the year, comparing them to 414 vehicle placebo controls [79]. In St Petersburg, they found that the attenuated virus was about eight times more likely to cause physical evidence of infection (fever) in the winter than the summer (6.7% vs. 0.8%). In Krasnogor, 8% of inoculated subjects developed a fever from the virus in January, but only 0.1% did so in May.

Zykov and Sosunov found that fever after inoculation with attenuated H3N2 (221 subjects) was twice as likely in February (10.7%) as in June (5%), compared to vehicle placebo controls [80]. They also confirmed that seroconversion varied by season, with the lowest rate of antibody formation in summer. When they attempted to recover the virus 48–72 h after inoculation, they found subjects were more likely to shed the virus in December (40%) than in September (16%), and the quantity of virus shed was significantly lower in summer than winter.

**Vitamin D deficiency and viral respiratory infections**

If vitamin D is Hope-Simpson’s ‘seasonal stimulus’, then vitamin D deficiency should predispose patients to respiratory infections. Rickets is the classic vitamin D-deficient disease of childhood and a long-standing association exists between rickets and
respiratory infection [81–88]. Mechanical impairment of pulmonary function due to clinical rickets is widely thought to explain the association. However, Wayse et al. recently compared 80 non-rachitic children with lower respiratory infections to healthy controls and found children with 25(OH)D levels <10 ng/ml were 11 times more likely to be infected [89]. This discovery makes it likely that it is vitamin D deficiency per se, and not mechanical impairment of pulmonary function, that explains the long-standing association of rickets with pulmonary infection.

UV radiation and viral respiratory infections

It is generally accepted that erythemal doses of UV radiation (UVR), which contains both UVB and UVA radiation, suppress human immune function [90, 91]. However, Termorshuizen et al. recently reviewed the literature on immune function and UVR, concluding it is dangerous to assume that such suppression will result in an increased incidence of infectious disease [92]. Furthermore, sub-erythemal doses of UVR, unlike erythemal doses, actually improve phagocytic activity in human volunteers. For example, Krause et al. reported that a 6- to 8-week course of sub-erythemal doses of UVR doubled the phagocytic activity in 21 children with recurrent respiratory tract infections [93]. Likewise, Csato et al. found five sub-erythemal doses of UVR increased polymorphonuclear chemotaxis in normal volunteers [94].

In 1990, Gigineishvili et al. administered sub-erythemal courses of UVR twice a year for 3 years to 410 teenage Russian athletes and compared them to 446 non-irradiated athletes [95]. The non-UVR controls had 50% more respiratory viral infections, 300% more days of absences and 30% longer duration of illness than did the UVR subjects. The irradiated subjects also had significant increases in salivary IgA, IgG and IgM compared to controls. In 2004, Termorshuizen et al. found that parents of Dutch children with the least sun exposure were twice as likely to report that their child had a cough, and were three times as likely to report their child had a runny nose, compared to children with the most sun-exposure [96].

Intervention with vitamin D. We are aware of only one modern paper that directly examined the relationship between vitamin D and respiratory infections. Rehman, in a letter, reported giving 60 000 IU of vitamin D a week and 650 mg of calcium daily for 6 weeks to 27 non-rachitic children (aged 3–12 years) with elevated alkaline phosphatases who were also suffering from frequent childhood infections, mostly respiratory infections [101]. He compared them to 20 age- and-sex matched control children who had not had more than one infectious episode per child during the previous 6 months. During the 6 months of observation after treatment, no difference was observed in the frequency of infection between the test and control groups of children. In fact, Rehman reported, ‘no recurrences were reported for a period of six months’, in the treated children.

DISCUSSION

The most common explanation for the seasonality of viral respiratory infections is that humans congregate indoors in the winter, thus increasing the chance for contagion. However, as Sir Christopher Andrews pointed out, people also congregate indoors during the summer [102].

Many people regard (crowding) as the likeliest ‘winter factor’ to explain the facts (wintertime excess of respiratory infections). I have always had doubts about this. Indoor workers in towns spend their working hours in much the same way winter and summer; they are cheek-by-jowl in their offices or at the factory bench or canteen all through the year ... If close contact were all, one would think the London Transport would ensure an all-the-year epidemic.
Epidemic influenza and vitamin D

The critical question of ‘What is an ideal 25(OH)D level?’ must be answered, ‘In regard to what?’ Levels needed to prevent rickets and osteomalacia (10 ng/ml) are lower than those that dramatically suppress parathormone levels (20 ng/ml) [105]. In turn, those levels are lower than those needed to increase intestinal calcium absorption maximally (34 ng/ml) [106]. In turn, neuromuscular performance in 4100 elderly patients steadily improved as 25(OH)D levels increased and maximum performance was associated with levels of 50 ng/ml [107]. If levels of 50 ng/ml are associated with further benefits, such as preventing viral respiratory infections, we are only now learning about it. Until more is known, it may be prudent to maintain wintertime 25(OH)D at concentrations achieved in nature by summertime sun exposure (50 ng/ml).

There are a number of factors to consider regarding the most appropriate dose of vitamin D. One minimal erythemal exposure of the full-body to artificial UVB radiation triggers the release of about 20000 IU of vitamin D into the circulation of light-skinned persons within 48 h [108]. There was no evidence of toxicity in young men taking 50000 IU of vitamin D a day for 6 weeks (although such a dose would be toxic if taken over a longer period) [109]. In 32 vitamin D-deficient elderly patients, 50000 IU/day of vitamin D for 10 days showed no evidence of toxicity and only raised 25(OH)D levels by an average of 5 ng/ml 3 months after administration and in no patient did levels exceed 13 ng/ml at 3 months [110]. Single injections of 600000 IU (15 mg) raised 25(OH)D levels from 2 ng/ml to 22 ng/ml at 2 weeks and to 26 ng/ml at 6 weeks in ten elderly subjects with no evidence of toxicity [111]. Indeed, a single injection of 600000 IU of vitamin D is safe; such doses were recently recommended for the elderly to prevent vitamin D deficiency [112]. These studies indicate short-term administration of pharmacological doses of vitamin D is safe.

A vitamin D intake of 2000 IU/day for 1 year failed to achieve a 32 ng/ml target 25(OH)D concentration in 40% of the post-menopausal African-American women studied [113]. Administration of 4000 IU/day of vitamin D for more than 6 months to middle-age Canadian endocrinology outpatients, resulted in average 25(OH)D levels of 44 ng/ml and produced no side-effects other than an improved mood [114]. Heaney has estimated that about 3000 IU/day of vitamin D is required to assure that 97% of Americans obtain levels > 35 ng/ml [115]. Dosage will
depend upon age, latitude, season, skin type, body weight, sun exposure, and pre-existing 25(OH)D levels. Some groups – African-Americans, the obese, and the elderly – may require supplementation with 5000 IU/day during winter but less, or none, during the summer to obtain 25(OH)D levels of 50 ng/ml. These studies indicate that ideal daily doses of vitamin D exceed current recommendations by an order of magnitude.

If the ability of vitamin D to stimulate the production of virucidal antimicrobial peptides and to suppress cytokine and chemokine production is clinically significant, then pharmacological doses (1000–2000 IU/kg per day for several days) may be useful in the treatment of those viral respiratory infections that peak in wintertime. Physicians have successfully used pharmacological doses of vitamin D to prevent vitamin D deficiency, to prevent metabolic bone disease, and to treat severe hypoparathyroidism. Perhaps such doses have other effects, such as ameliorating symptoms of viral respiratory infections. As pointed out in a 1999 paper that heralded the current interest in the nutrient, the pharmacological potential of vitamin D remains unexplored [116].

CONCLUSION

There is much evidence to suggest that vitamin D may be Hope-Simpson’s seasonal stimulus. Nevertheless, it is premature to recommend vitamin D for either the prevention or treatment of viral respiratory infections. It is not, however, too early to recommend that health-care providers aggressively diagnose and adequately treat vitamin D deficiency. Vitamin D deficiency is endemic and has been associated with many of the diseases of civilization [117, 118]. Vitamin D supplementation should stabilize 25(OH)D concentrations consistent with levels obtained by natural summertime sun exposure (50 ng/ml) while avoiding toxic levels. Those with large amounts of melanin in their skin, the obese, those who avoid the sun, and the aged may need up to 5000 IU/day to obtain such levels, especially in the winter.

The theory that vitamin D affects the course of viral respiratory infections should be tested. Are patients with low 25(OH)D levels more likely to contract viral respiratory infections? Does the clinical course correlate with 25(OH)D levels? Do patients with influenza have lower 25(OH)D levels than uninfected controls? Does sun exposure correlate with infection? Are patients who take physiological doses of vitamin D less likely to become infected? Should the concept of human herd immunity (the immune pressure on the virus due to the percentage of the population with acquired immunity) be expanded to include innate herd immunity [the immune pressure on the virus due to the percentage of the population with adequate 25(OH)D levels]? Is influenza infection a sign of vitamin D deficiency as much as Pneumocystis carinii pneumonia is a sign of AIDS? Does the administration of pharmacological doses of vitamin D, early in the course of a viral respiratory infection, ameliorate symptoms? As the annual mortality from influenza approaches one million worldwide, further studies testing this theory are warranted [119].

Today, in a rush from multiplex reverse transcriptase–polymerase chain reactions that rapidly subtype influenza viruses to complex mathematical formulas that explain its infectivity, many of us have forgotten Hope-Simpson’s simple ‘seasonal stimulus’ theory for the lethal crop of influenza that sprouts around the winter solstice. The faith and humility that characterized his life and his writings insulated him from despairing that his ‘seasonal stimulus’ would not be sought. Among his last published words was the suggestion that ‘it might be rewarding if persons, who are in a position to do so, will look more closely at the operative mechanisms that are causing such seasonal behavior’ [3, p. 241].

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DECLARATION OF INTEREST

Dr Cannell heads the non-profit educational group, ‘The Vitamin D Council’.

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